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U.S. APPLICATION NUMBER

FIRST NAMED APPLICANT

ATTY. DOCKET NO.

10/552,219

Claudia Scharer-Brodbeck

27656/41464

PCT/IB03/01452

INTERNATIONAL APPLICATION NO.

I.A. FILING DATE

PRIORITY DATE

04/11/2003

4743 MARSHALL, GERSTEIN & BORUN LLP 233 S. WACKER DRIVE, SUITE 6300 **SEARS TOWER** CHICAGO, IL 60606

# RECEIVED

MAY 17 2007

**CONFIRMATION NO. 6786 371 FORMALITIES LETTER** \*OC000000023497669\*

MARSHALL GERSTEIN

Date Mailed: 04/23/2007

#### NOTIFICATION OF DEFECTIVE RESPONSE

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495)

- Copy of the International Application filed on 10/06/2005
- Copy of the International Search Report filed on 10/06/2005
- Copy of IPE Report filed on 10/06/2005
- Preliminary Amendments filed on 06/29/2006
- Information Disclosure Statements filed on 10/06/2005
- Biochemical Sequence Diskette filed on 06/29/2006
- Oath or Declaration filed on 06/29/2006
- Biochemical Sequence Listing filed on 06/29/2006
- U.S. Basic National Fees filed on 10/06/2005
- Specification filed on 10/06/2005
- Claims filed on 10/06/2005
- Abstracts filed on 10/06/2005
- Drawings filed on 10/06/2005

Applicant's response filed 06/29/2006 is hereby acknowledged. The following requirements set f NOTIFICATION of MISSING REQUIREMENTS mailed 06/14/2006 have not been completed.

 A copy of the "Sequence Listing" in computer readable form has been submitted. However the computer readable form does not comply with the requirements of 37 CFR 1.822 and indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant mus substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement of the sequence listing information recorded in computer readable form is identical to the or compact disc) sequence listing and, where applicable, includes no new matter, as requi 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

Applicant is required to complete the response within a time limit of ONE MONTH from the Notification or within the time remaining in the response set forth in the Notification of Mi Requirements, whichever is the longer. No extension of this time limit may be granted un 1.136, but the period for response set in the Notification of Missing Requirements may be

Docketed:



#### 37 CFR 1.136(a).

Applicant is cautioned that correction of the above items may cause the specification and drawings page count to exceed 100 pages. If the specification and drawings exceed 100 pages, applicant will need to submit the required application size fee.

For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:

- For Rules Interpretation, call (571) 272-0951
- For Patentin Software Program Help, call Patent EBC at 1-866-217-9197 or directly at 703-305-3028 / 703-308-6845 between the hours of 6 a.m. and 12 midnight, Monday through Friday, EST.
- Send e-mail correspondence for Patentin Software Program Help @ ebc@uspto.gov

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web. https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at http://www.uspto.gov/ebc.

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

KAREN R MCLEAN

Telephone: (703) 308-9140 EXT 214

### PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY, DOCKET NO.
10/552,219	PCT/IB03/01452	27656/41464

FORM PCT/DO/EO/916 (371 Formalities Notice)



### **CRF Problem Report**

The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) experienced a problem when processing the following computer readable form (CRF):  Application Serial Number: 51-272-2510; Fax: 571-273-0221
Nature of CRF Problem:    Circle one) Damaged or Unreadable (for Unreadable, see attached)   Blank (no files on CRF) (see attached)   Empty file (filename present, but no bytes in file) (see attached)   Wrong file saved to CRF (invention title, docket number, or applicant(s) do not match those in official application) (see attached)   Not saved in ASCII text   Sequence Listing was embedded in the file. According to Sequence Rules, submitted file should only be the Sequence Listing.   Did not contain a Sequence Listing. (see attached sample)   Other:
PLEASE USE THE CHECKER VERSION 4.3.1 PROGRAM TO REDUCE ERRORS.  SEE BELOW FOR ADDRESS:     http://www.uspto.gov/web/offices/pac/checker/chkrnote.htm  1. EFS-Bio ( <http: documents.htm="" downloads="" ebc="" efs="" www.uspto.gov="">, EFS Submission     User Manual - ePAVE)  2. U.S. Postal Service: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450  3. Hand Carry, Federal Express, United Parcel Service, or other delivery service (EFFECTIVE 01/14/05):     U.S. Patent and Trademark Office, Mail Stop Sequence, Customer Window, Randolph Building, 401 Dulany Street,</http:>

Revised 08/30/05

Alexandria, VA 22314

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Application Number 10/552,219-Conf. #6786

Attorney Docket Number

## **TRANSMITTAL FORM**

Filing Date October 6, 2005 First Named Inventor Claudia Schärer-Brodbeck Art Unit Not Yet Assigned Examiner Name Not Yet Assigned

27656/41464

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

ENCLOSURES (Check all that apply)					
Fee Transmittal For	m	Drawing(s)		After Allowance Communication to TC	
Fee Attached		Licensing-related Papers		Appeal Communication to Board of Appeals and Interferences	
Amendment/Reply		Petition		Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)	
After Final		Petition to Convert to a Provisional Application		Proprietary Information	
Affidavits/decl	aration(s)	Power of Attorney, Revocati	on Address	Status Letter	
Extension of Time R	Request	Terminal Disclaimer		X Other Enclosure(s) (please Identify below):	
Express Abandonme	ent Request	Request for Refund		Substitute Sequence Listing Diskette	
Information Disclosu	ure Statement	CD, Number of CD(s)		Part 2 Copy of Notice Statement Under 37 CFR 1.825	
Certified Copy of Pri Document(s)	iority	Landscape Table on	CD		
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	ng Parts under				
37 CFR 1.52 c	or 1.53				
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT					
Firm Name MARS	HALL, GERST	EIN & BORUN LLP			
Signature	& Sly				
Printed name Jeffrey	S. Sharp				
Date May 18	3, 2007		Reg. No.	31,879	

	er referred to as being attached or enclosed) is being deposited with the U.S. P	
	st Class Mail, in an envelope addressed to: Commissioner for Patents, P.O. E	3ox 1450,
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Dated: May 18, 2007

Signature:

(Jeffrey S. Sharp)